#### PATENT COOPERATION TREATY

### **PCT**

#### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

Applicant's or agent's file reference Analgesic	FOR FURTHER ACTION	See item 4 below		
International application No. PCT/EP2004/008346	International filing date (day/month/year) 26 July 2004 (26.07.2004)	Priority date (day/month/year) 02 September 2003 (02.09.2003)		
International Patent Classification (8th See relevant information in Form F	n edition unless older edition indicated) PCT/ISA/237			
Applicant NOVOSIS AG				

1.	This international preliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 bis.1(a).				
2.	This REPORT consists of a total	of 6 sheets, including this	cover sheet.		
	In the attached sheets, any refere to the international preliminary re	<del>_</del>	of the International Searching Authority should be read as a reference pter I) instead.		
3.	This report contains indications r	elating to the following ite	ms:		
	Box No. I	Basis of the report			
	Box No. II	Priority			
	Box No. III	Non-establishment of op applicability	oinion with regard to novelty, inventive step and industrial		
	Box No. IV	Lack of unity of invention			
	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			
	Box No. VI	Certain documents cited			
	Box No. VΠ	Certain defects in the international application			
	Box No. VIII	Box No. VIII Certain observations on the international application			
4.	4. The International Bureau will communicate this report to designated Offices in accordance with Rules 44bis.3(c) and 93bis.1 but not, except where the applicant makes an express request under Article 23(2), before the expiration of 30 months from the priority date (Rule 44bis.2).				
Date of issuance of this report 02 March 2006 (02.03.2006)					
	The International Burea		Authorized officer		
34, chemin des Colombettes 1211 Geneva 20, Switzerland			Agnes Wittmann-Regis		
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Form PCT/IB/373 (January 2004)

#### PATENT COOPERATION TREATY

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From the INTERNATIONAL SEARCHING AUTHORITY

To:			PCT	
see form PCT/ISA/2	20	WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY		
			(PCT-Rule 43bis.1)	
		Date of mailing (day/month/year) see form PCT/ISA/210 (second sheet)		
Applicant's or agent's file reference see form PCT/ISA/220		FOR FURTHE See paragraph 2 b		
International application No. PCT/EP2004/008346	International filing date (26.07.2004	Priority date (day/month/year) 02.09.2003		
International Patent Classification (IPA61K9/70, A61K31/485	C) or both national classification	and IPC		
Applicant				

1	. This	opinion	contains	indications	relating	to the	following	items:

$\boxtimes$	Box No. I	Basis of the opinion
$\boxtimes$	Box No. II	Priority
	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
	Box No. IV	Lack of unity of invention
$\boxtimes$	Box No. V	Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
$\boxtimes$	Box No. VI	Certain documents cited
	Box No. VII	Certain defects In the international application
	Box No. VIII	Certain observations on the international application

#### **FURTHER ACTION**

**NOVOSIS AG** 

If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA"). However, this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notifed the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of three months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

For further details, see notes to Form PCT/ISA/220. 3.

Name and mailing address of the ISA:



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## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

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International application No. PCT/EP2004/008346

_	Dou:	<u> </u>			
	ВОХ	No. I	Basis of the opinion		
1.	. With regard to the language, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.				
	Li	anyua	pinion has been established on the basis of a translation from the original language into the following geture, which is the language of a translation furnished for the purposes of international search Rules 12.3 and 23.1(b)).		
2.	With neces	regard ssary t	to any <b>nucleotide and/or amino acid sequence</b> disclosed in the international application and to the claimed invention, this opinion has been established on the basis of:		
	a. typ	e of m	naterial:		
		a se	equence listing		
	☐ table(s) related to the sequence listing				
b. format of material:					
	□ in written format				
	☐ in computer readable form				
	c. time	e of fil	ing/furnishing:		
		cont	tained in the international application as filed.		
		filed	together with the international application in computer readable form.		
		furn	ished subsequently to this Authority for the purposes of search.		
3.	C	opies i	tion, in the case that more than one version or copy of a sequence listing and/or table relating thereto en filed or furnished, the required statements that the information in the subsequent or additional is identical to that in the application as filed or does not go beyond the application as filed, as riate, were furnished.		
4.	Additional comments:				

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/EP2004/008346

_	Во	x No. II	Priority			
1.	I. ⊠ The following document has not been furnished:					
		$\boxtimes$	copy of the earlie	r applicatio	n whose p	priority has been claimed (Rule 43 <i>bis</i> .1 and 66.7(a)).
			translation of the	earlier app	lication wh	nose priority has been claimed (Rule 43bis.1 and 66.7(b)).
		Consec neverth	quently it has not l neless been estab	been possit	ole to cons ne assump	der the validity of the priority claim. This opinion has tion that the relevant date is the claimed priority date.
2.	This opinion has been established as if no priority had been claimed due to the fact that the priority claim has been found invalid (Rules 43 <i>bis.</i> 1 and 64.1). Thus for the purposes of this opinion, the international filing date indicated above is considered to be the relevant date.					
3.		was no	t available to the i	SA at the ti	me that the	y of the priority claim because a copy of the priority document e search was conducted (Rule 17.1). This opinion has tion that the relevant date is the claimed priority date.
4.	Add	litional o	bservations, if ne	essary:		
		No. V	Reasoned stat	ement und	ler Rule 43	3bis.1(a)(i) with regard to novelty, inventive step or
	indu	ustrial a	pplicability; cita	ions and e	explanatio	ons supporting such statement
1.	Stat	ement				
	Nov	elty (N)		Yes:	Claims	
			•	No:	Claims	1-22
	Inve	ntive st	ep (IS)	Yes:	Claims	
				No:	Claims	1-22
	indu	strial ap	plicability (IA)	Yes:	Claims	1-22
		•	, ,	No:	Claims	· · · · · · · · · · · · · · · · · · ·
,						
2.	Citat	tions and	d explanations			
<del>-</del> .	see	separat	te-sheet	- ·· <del></del> -		
	Box	No. VI	Certain docum	ents cited		
1.	Certa	ain publ	ished documents	(Rules 43 <i>b</i> .	is.1 and 70	0.10)
	and A					•

Form PCT/ISA/237 (January 2004)

see form 210

2. Non-written disclosures (Rules 43bis.1 and 70.9)

#### Re Item V

Reasoned statement with regard to novelty, inventive step and industrial applicability; citations and explanations supporting such statement

#### 1. Cited Documents

The following documents are referred to in this communication:

D1: US-B-6 455 0661 (STOEGER KATHARINA ET AL) 24 September 2002 (2002-09-24)

D2: WO 03/079945 A (EURO CELTIQUE SA; KAIKO ROBERT F (US); SANCHEZ RAMIRO (US)) 2 October 2003 (2003-10-02)

#### 2. Novelty

The document D1 discloses (see column 2, line 66 - column 3, line 46 and column 5, lines 39-63) a patch comprising a local anesthetic and a penetration enhancing amount of an aloe composition. The term "local anesthetic" encompasses opioid analgesics such as buprenorphine and nalbuphine. The subject-matter of claims 1-22 is therefore not new (Article 33(2) PCT)

#### 3. Inventive Step

Claims 1-22 not being new are also not inventive (Article 33(3) PCT).

#### 4. Industrial applicability

Claims 1-22 satisfy the criterion of industrial applicability set forth in Article 33(4) PCT.

#### Re Item VI

Certain documents cited

Certain published documents

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (SEPARATE SHEET)

International application No.

PCT/EP2004/008346

Application No Patent No

Publication date (day/month/year)

Filing date (day/month/year)

Priority date (valid claim) (day/month/year)

WO-A-03079945

2 October 2003

20 March 2003

20 March 2002